

Integrated Governance

UTKARSH SMALL FINANCE BANK LIMITED

General information about company

Scrip code	543942
NSE Symbol	UTKARSHBNK
MSEI Symbol	NA
ISIN	INE735W01017
Date of start of financial year	01-04-2026
Date of end of financial year	31-03-2027
Reporting Quarter Type	Quarterly
Date of Quarter Ending	30-06-2026
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No There was no new acquisition of shares or voting rights in any unlisted company, as required under the SEBI circular, during the quarter ended June 30, 2026.
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	No There was no fine or penalty imposed on Bank, as required under the SEBI circular, during the quarter ended June 30, 2026.
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	No As there are no tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, no update was required under SEBI circular.
Risk management committee	Yes
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities
Is SCORE ID Available ?	Yes
SCORE Registration ID	COMZ00200
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory						Yes																	
Whether the listed entity has a Regular Chairperson						Yes																	
Whether Chairperson is related to MD or CEO						No	Disqualification of Directors under section 164 of the Companies Act, 2013																
Sr no.	Title(Mr/Ms)	Name of the Director	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director(in months)	No of Directorship in listed entities including this listed entity(Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity(Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN
1	Mr	Kshatrapati Shivaji	01185381	Non-Executive - Independent Director	Chairperson		No				Active	No		01-07-2025	01-07-2025		12	1	1	0	0		
2	Mr	Ajay Kumar Kapur	00108420	Non-Executive - Independent Director	Not Applicable		No				Active	No		02-03-2021	02-03-2026		63.3	1	1	2	0		
3	Mrs	Gauri Rushabh Shah	06625227	Non-Executive - Independent Director	Not Applicable		No				Active	No		01-06-2025	01-06-2025		13	1	1	2	2		
4	Mrs	Kalpana Prakash Pandey	06715713	Non-Executive - Independent Director	Not Applicable		No				Active	No		29-04-2020	29-04-2025		74.02	1	1	2	0		
5	Mr	Parveen Kumar Gupta	02895343	Non-Executive - Independent Director	Not Applicable		No				Active	No		01-09-2021	01-09-2021		58	3	3	6	2		
6	Mr	Ram Jass Yadav	08911900	Non-Executive - Non Independent Director	Not Applicable		No				Active	No		03-01-2026	03-01-2026		5.29	1	0	0	0		
7	Mr	Anjani Kumar Srivastava	07594445	Non-Executive - Non Independent Director	Not Applicable		No				Active	No		09-05-2026	09-05-2026		1.23	1	0	0	0		
8	Mr	Govind Singh	02470880	Executive Director	Not Applicable	CEO-MD	No				Active	NA		30-04-2016	21-09-2024			1	0	1	0		
9	Mr	Sarjukumar Parvin Simaria	02436025	Executive Director	Not Applicable		No				Active	NA		22-06-2026	22-06-2026			1	0	0	0		

Annexure I

II. Composition of Committees

Disclosure of notes on composition of committees explanatory

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06625227	Gauri Rushabh Shah	Non-Executive - Independent Director	Chairperson	20-07-2025		
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	31-05-2021		
3	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	23-10-2020		
4	02895343	Parveen Kumar Gupta	Non-Executive - Independent Director	Member	20-07-2025		

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02895343	Parveen Kumar Gupta	Non-Executive - Independent Director	Chairperson	04-11-2021		
2	01185381	Kshatrapati Shivaji	Non-Executive - Independent Director	Member	20-07-2025		
3	08911900	Ram Jass Yadav	Non-Executive - Non Independent Director	Member	02-03-2026		

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06625227	Gauri Rushabh Shah	Non-Executive - Independent Director	Chairperson	15-03-2026		
2	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	31-05-2021		
3	02470880	Govind Singh	Executive Director	Member	04-11-2021		

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Chairperson	31-05-2021		
2	01185381	Kshatrapati Shivaji	Non-Executive - Independent Director	Member	20-07-2025		
3	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020	09-05-2026	Textual Information(1)
4	02470880	Govind Singh	Executive Director	Member	28-01-2026		
5	07594445	Anjani Kumar Srivastava	Non-Executive - Non Independent Director	Member	09-05-2026		

Text Block

Textual Information(1)	Mrs. Kalpana Pandey ceased to be member of the Committee with effect from May 09, 2026 due to reconstitution of Board Committees.
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Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08911900	Ram Jass Yadav	Non-Executive - Non Independent Director	Chairperson	28-01-2026		Textual Information(1)
2	00108420	Ajay Kumar Kapur	Non-Executive - Independent Director	Member	04-11-2021		
3	06715713	Kalpana Prakash Pandey	Non-Executive - Independent Director	Member	07-05-2020		

Text Block

Textual Information(1)	Dr. Ram Jass Yadav was appointed as a Member of the Committee with effect from January 28, 2026, and was subsequently re-designated as Chairperson of the Committee with effect from March 02, 2026.
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Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
No records available						

Annexure I

III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present*(All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	03-01-2026			Yes	7	7	5
2	02-02-2026	29		Yes	8	8	5
3	14-02-2026	11		Yes	8	8	5
4	14-03-2026			Yes	7	7	5
5	09-05-2026	55		Yes	7	7	5
6	20-06-2026	41		Yes	8	8	5

Annexure I

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	02-02-2026				Yes	4	4	4	0
2	Audit Committee	13-03-2026	38			Yes	4	4	4	0
3	Audit Committee	09-05-2026	56			Yes	4	4	4	0
4	Audit Committee	06-06-2026	27			Yes	4	4	4	0
5	Nomination and remuneration committee	06-05-2026				Yes	3	3	2	0
6	Stakeholders Relationship Committee	29-01-2026				Yes	3	3	1	0
7	Stakeholders Relationship Committee	06-05-2026	96			Yes	3	3	2	0
8	Risk Management Committee	24-02-2026				Yes	4	4	3	0
9	Risk Management Committee	16-06-2026	111			Yes	4	4	2	0
10	Corporate Social Responsibility Committee	19-01-2026				Yes	3	3	2	0
11	Corporate Social Responsibility Committee	09-06-2026	140			Yes	3	3	2	0

Annexure I**V. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c.Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	true
9	Any comments/observations/advice of Board of Directors may be mentioned here:	

Annexure I

Sr	Subject	Compliance status
1	Name of signatory	Muthiah Ganapathy
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No
Other details of cyber security incidence or breaches or loss of data event	
Number of cyber security incidence or breaches or loss of data event occurred during the quarter	
No records available	

Signatory Details

Name of signatory	Muthiah Ganapathy
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	22-07-2026

Investor Grievance Details

No. of investor complaints pending at the beginning of Quarter	
No. of investor complaints received during the Quarter	2
No. of investor complaints disposed off during the Quarter	1
No. of investor complaints those remaining unresolved at the end of the Quarter	1

Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies- The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies					
Sr.No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
No records available					

Disclosure of Imposition of Fine or Penalty The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr.No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
No records available					

Disclosure of Updates to Ongoing Tax Litigations or Disputes The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:

Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				
Sr.No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
No records available				